

**MWRD Retirement Fund Directed Brokerage**

**Minority, Woman, Disabled & Veteran-Owned Business Enterprises registered to do Business in Illinois**

Updated: March 2017

Firm	Initial Contact / Phone	Classes
Academy Securities, Inc. 190 S. LaSalle St., Suite 1220 Chicago, IL 60603	Elizabeth M. Tolomeo Managing Director (312) 635-0867	Domst. Equities (All Cap) Int'l Equities Fixed Income
Andes Capital Group, LLC 205 West Wacker Drive, Suite 1804 Chicago, IL 60606	Curtis Spears President/CEO (312) 376-4510	Global Equities Global Fixed Income
Blaylock Beal Van, LLC 180 North LaSalle Street, Suite 1825 Chicago, IL 60601	Dudley Brown, Jr. Senior Vice President (312) 324-0772	Domst. Equities (All Cap) Domst. Fixed Income Int'l Equities
Cabrera Capital Markets 208 South LaSalle St., Suite 1230 Chicago, IL 60604	Martin Cabrera, Jr. President (312) 236-8888	Domst. Equities (All Cap) Domst. Fixed Income Int'l Equities
CastleOak Securities, L.P 222 W. Adams St., Suite 1900 Chicago, IL 60606	Brian Geller Director of Trading 212-610-5594	Domst. Equities (AllCap) Fixed Income
Drexel Hamilton 30 South Wacker Drive, 2200 Chicago, 60606	Frederick D. Phelan Vice President (312) 466-5510	Domst. Equities (All Cap) Domst. Fixed
Fig Group, LLC 549 Devon Drive Burr Ridge, IL 60527	Terry Cramer Director of Equities 630-884-0010	Domst. Equities (All Cap) Int'l Equities
Loop Capital Markets 111 West Jackson Blvd., Suite 1901 Chicago, IL 60604	Courtney Ratliff President (312) 913-5690	Domst. Equities (All Cap) Domst. Fixed Income Int'l Equities
Melvin & Company, LLC 455 Cityfront Plaza Drive, 31st floor Chicago, IL 60611	Chris Melvin President (312) 341-0050	Domst. Equities (All Cap) Int'l Equities
North South Capital LLC. 200 West Adams, Suite 2230 Chicago, IL 60606	Jane Karpinski Ott President (312) 445-5403	Domst. Equities (All Cap) Int'l Equities
Penserra Securities LLC 10 S. Riverside Plaza, Suite 1841 Chicago, IL 60606	Connie Kreutzer Senior Vice President (773) 938-8108	Domst. Equities (All Cap) Domst. Fixed Income Int'l Equities
Podesta & Co 208 S LaSalle St. Suite 1460 Chicago, IL 60604	Sharon Piet Senior VP, Investment Advisor (312) 899-0133 ext:15	Domst. Equities (All Cap) Domst. Fixed Income
Rice Securities, LLC 208 S. LaSalle Street, Suite 1338 Chicago, IL 60604	Carol Mackoff Managing Director (312) 855-9235	Domst. Fixed Income
Sturdivant & Co 30 N. Michigan Ave, Suite 526 Chicago, IL 60602	Terry A. Williams Vice President (856) 433-6122	Domst. Equities (All Cap) Int'l Equities
The Williams Capital Group, L.P. 625 N Michigan Ave, Suite 1740 Chicago, IL 60611	Arthur McClearin Managing Director (312) 654-4567	Domst. Equities (All Cap) Domst. Fixed Income Int'l Equities

**MWRD Retirement Fund Directed Brokerage**

**Minority, Woman, Disabled & Veteran-Owned Business Enterprises located outside of Illinois**

Firm	Initial Contact / Phone	Classes
BOE Securities, Inc. Two Penn Center 1500 JFK Boulevard, Suite 430 Philadelphia, PA 19102	Bufus Outlaw President & CEO 215-568-5500	Domst. Equities (All Cap) Domst. Fixed Income Int'l Equities
Bonwick Capital Partners 1350 Avenue of the Americas New York, NY 10019	Kofi A. Obeng (646) 738-8054	Domst. Fixed Income

C.L. King & Associates 551 Madison Ave New York, NY 10022	Vincent Kenney Managing Director (212) 421-2696	Domst. Equities (All Cap) Domst. Fixed Income
Capital Institutional Services, Inc. 1700 Pacific Avenue, Suite 1100 Dallas, Texas 75201	Jon Lantz First Vice President, Account Executive (214) 978-4778	Domst. Equities (All Cap) Int's Equities Domst. Fixed Income
Direct Access Partners 40 Wall Street, 42nd Floor New York, NY 10005	Rick Fremont Managing Director (212) 850-8869	Domst. Equities (All Cap) Domst. Fixed Income Int'l Equities
Divine Capital Markets, LLC 39 Broadway, 36th Floor New York, NY 10006	Lora Malone Institutional Sales & Trading (212) 344-5867	Domst. Equities (All Cap)
Doley Securities, LLC 616 Baronne Street, Suite 300 New Orleans, LA 70113	Joseph M. McGonagle Managing Director (504) 302-4898	Domst. Equities (All Cap)
Fairbridge Capital Markets 2801 West Coast Highway, Suite 230 Newport Beach, CA 92663	Imran Mukati Managing Director (949) 610-7067	Domst. & Intl Fixed Income
Great Pacific Securities 151 Kalmus Drive, Suite H-8 Costa Mesa, CA 92626	Mike Maroshek Relationship Manager (714) 619-3000	Domst. Equities (All Cap) Domst. Fixed Income
Greentree Brokerage Services 1831 Chestnut St., Suite 1002 Philadelphia, PA 19103	Warren West President (215) 569-1980	Domst. Equities (All Cap) Domst. Fixed Income
Ivy Securities, Inc. 320 Northern Blvd., Suite 21 Great Neck, New York 11021	Grant Wu (888) 813-2713	Domst. Equities (All Cap)
Mischler Financial Group, Inc. 1111 Bayside Drive, Suite 100 Corona del Mar, CA 92625	Scott Kersh Sr. Vice President-Institutional Sales (800) 820-0640	Domst. Equities (All Cap) Domst. Fixed Income Int'l Equities
Kota Global Securities 317 Madison Avenue Suite 1220 New York, NY 10017	Jose Reyes Managing Director (646) 380-8310	Domst. Equities (All Cap) Int'l Equities
MD Global Partners, LLC 275 Madison Avenue, 6th Floor New York, NY 10016	Eilon Natan Vice President (212) 308-2864	Domst. Equities (All Cap) Domst. Fixed Income Int'l Equities
Native One Institutional Trading 30 Broad Street New York, New York 10004	Marie-Regina Forbes Managing Member (212) 742-8111	Domst. Equities (All Cap) Domst. Fixed Income
Pacific America Securities, LLC 9191 Towne Centre Drive, Suite 406 San Diego, CA 92122	Michelle A. Morton (858) 320-2850	Domst. Equities (All Cap) Domst. Fixed Income
Samuel A. Ramirez & Co. 61 Broadway, 29th Floor New York, NY 10006	Fred Capozzi Managing Director (212) 248-1217	Domst. Equities (All Cap) Domst. Fixed Income
Telsey Advisor Group (TAG) 535 Fifth Avenue, 12 <sup>th</sup> Floor New York, NY 10017	Jerry S. Arzu Director of Business Development (212) 584-4614	Domst. Equities (All Cap)
Vandham Securities Corp. 50 Tice Boulevard, Suite 151 Woodcliff Lake, NJ 07677	Michael Tillsley Managing Director (201) 782-3307	Domst. Equities (All Cap) Domst. Fixed Income Int'l Equities